

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Application of: MacDonald, et al.)
Serial No: 10/731,256)
Filed: December 9, 2003)
Confirmation No: 4720)
Title: Triggerable Delivery System For Pharmaceutical And)
Nutritional Compounds And Methods Of Utilizing Same)

Group Art Unit: 1616
Examiner: Unknown
Our Account No: 04-1403
Customer No: 22827

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U.S. Patent and Trademark Office
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The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

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- a. ☒ A list of materials for consideration per Rule 98(a)(1): 2 page(s)
 - b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
24 item(s)
 - c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____
☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.
2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:
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 - b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
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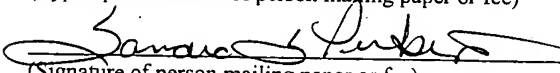
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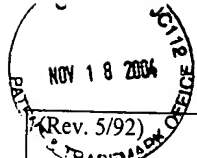
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By: Christina L. Mangelsen, Patent Agent

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Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-859 (19100)	Serial Number: 10/731,256
	Applicant: MacDonald, et al.	
	Filing Date: December 9, 2003 Confirmation No: 4720	Group Art Unit: 1616

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"
- (1) This item is cumulative, per Rule 98(c)
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 - (3) Both reasons (1) and (2) apply
 - (4) No legible complete copy is possessed, in custody of controlled, or readily available
 - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
	Berry	3	5	0	7	2	6	9		04/21/1970	5
	Hoshino, et al.	3	6	1	5	4	7	8		10/26/1971	5
	Pratt, et al.	3	7	9	4	4	9	7		02/26/1974	5
	Nakamura	4	8	2	3	8	0	3		04/25/1989	5
	Withers, et al.	5	2	4	5	1	1	7		09/14/1993	5
	Müller, et al.	5	3	6	6	9	4	7		11/22/1994	5
	Hüsler, et al.	5	7	9	5	9	8	5		08/18/1998	5
	Diamond, et al.	6	2	6	4	6	1	5		07/24/2001	5
	Pedersen	6	6	0	7	7	1	1		08/19/2003	5

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE
	Hage, et al. 2003	0 0 5 0 2 1 1	03/13/2003	5
	Rodriguez-Fernandez 2001	0 0 5 6 2 4 6	12/27/2001	5
	Jakob, et al. 2002	0 0 6 6 5 4 2	06/06/2002	5
	Fischer, et al. 2002	0 0 9 1 0 7 1	07/11/2002	5
	Rosenberg, et al. 2003	0 1 0 0 8 4 2	05/29/2003	5

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	
	EP	1	1	6	2	1	7	2	A1	12/12/2001				
	EP	1	1	8	8	8	5	4	A1	03/20/2002			X	
	EP	1	2	1	4	8	7	8	A1	06/19/2002			X	
	WO w/ English Abstract	0	0	2	9	0	3	6	A2 & A3	03/25/2000		X		

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-859 (19100)	Serial Number: 10/731,256
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	WO 2004	0	0	0	9	8	6		A1	12/31/2003			X	
	WO w/ English Abstract	0	0	5	9	5	5	5	A1	10/12/2000		X		
	WO	9	7	2	5	0	7	6	A1	07/17/1997			X	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
	Abstract of SU834073	05/30/1981
	PCT Search Report and Written Opinion for PCT/US2004/011596	08/30/2004
	PCT Search Report and Written Opinion for PCT/US2004/016933	11/02/2004
EXAMINER	DATE CONSIDERED	
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